

Agenda

Dorset County Council



Meeting: Audit and Governance Committee
Time: 10.00 am
Date: 20 January 2017
Venue: Committee Room 2, County Hall, Colliton Park, Dorchester, Dorset, DT1 1XJ

Trevor Jones (Chairman)
Andrew Cattaway
Matt Hall
Peter Wharf

Kate Wheller (Vice-Chairman)
Hilary Cox
David Harris

Pauline Batstone
Lesley Dedman
Peter Richardson

Notes:

- The reports with this agenda are available at www.dorsetforyou.com/countycommittees then click on the link "minutes, agendas and reports". Reports are normally available on this website within two working days of the agenda being sent out.
- We can provide this agenda and the reports as audio tape, CD, large print, Braille, or alternative languages on request.

- **Public Participation**

Guidance on public participation at County Council meetings is available on request or at <http://www.dorsetforyou.com/374629>.

Public Speaking

Members of the public can ask questions and make statements at the meeting. The closing date for us to receive questions is 10.00am on 17 January 2017, and statements by midday the day before the meeting.

Debbie Ward
Chief Executive

Contact: Denise Hunt, Senior Democratic Services Officer
County Hall, Dorchester, DT1 1XJ
01305 224878 - d.hunt@dorsetcc.gov.uk

Date of Publication:
Thursday, 12 January 2017

1. **Apologies for Absence**

To receive any apologies for absence.

2. **Code of Conduct**

Councillors are required to comply with the requirements of the Localism Act 2011 regarding disclosable pecuniary interests.

- Check if there is an item of business on this agenda in which the member or other relevant person has a disclosable pecuniary interest.
- Check that the interest has been notified to the Monitoring Officer (in writing) and entered in the Register (if not this must be done on the form available from the clerk within 28 days).
- Disclose the interest at the meeting (in accordance with the County Council's Code of Conduct) and in the absence of a dispensation to speak and/or vote, withdraw from any consideration of the item.

The Register of Interests is available on Dorsetforyou.com and the list of disclosable pecuniary interests is set out on the reverse of the form.

3. **Minutes**

5 - 12

To confirm and sign the minutes of the meeting held on 7 December 2016.

4. **Public Participation**

(a) Public Speaking

(b) Petitions

5. **Report of Internal Audit Activity Plan Progress 2016/17 - Quarters 2 and 3**

13 - 34

To consider a report by the South West Audit Partnership (attached).

6. **Progress on Matters Raised at Previous Meetings**

35 - 38

To consider outstanding actions identified at meetings held on 20 September and 7 December 2016.

7. **Budget Monitoring Report - December 2017**

39 - 56

To consider a report by the Chief Financial Officer (attached).

8. **Appointing the External Auditor**

57 - 62

To consider a report by the Chief Financial Officer (attached).

9. **Primary School Meals Contract - Contract Extension Consultation**

63 - 68

To consider a report by the Chief Financial Officer (attached).

10. **Corporate Plan: Outcomes Focused Monitoring Report**

69 - 84

To consider a report by the Chief Executive (attached).

- | | |
|---|-----------|
| 11. Treasury Management Mid Year Update 2016/17 | 85 - 98 |
| To consider a report by the Chief Financial Officer (attached). | |
| 12. Information Governance - Data Protection Update | 99 - 102 |
| To consider a report by the Head of Organisational Development (attached). | |
| 13. Statutory Officer Panel Terms of Reference (Disciplinary Investigation Process for the Chief Executive and Statutory Officers) | 103 - 136 |
| To consider a report by the Head of Human Resources and Organisational Development (attached). | |
| 14. Constitutional Changes | 137 - 140 |
| To consider a report by the Monitoring Officer (attached). | |
| 15. Work Programme | 141 - 146 |
| To consider the Committee's current work programme. | |
| 16. Questions from County Councillors | |
| To answer any questions received in writing by the Chief Executive by not later than 10.00am on 17 January 2017. | |